

FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (REV. 1-2003)		ATTORNEY'S DOCKET NUMBER 450100-04894
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (if known) 10/506719
INTERNATIONAL APPLICATION NO. PCT/JP04/000035	INTERNATIONAL FILING DATE January 7, 2004 ✓	PRIORITY DOCUMENT NOS. 2003-002675, 2003-156072 and 2003-400876 PRIORITY DATES CLAIMED January 8, May 30 and November 28, 2003 ✓
TITLE OF INVENTION EXTERNAL STORAGE APPARATUS		
APPLICANTS FOR DO/EO/US Norio SUGAWARA, Takashi ANDO, Hiroaki YAMANAKA		
Applicants herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input checked="" type="checkbox"/> This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input type="checkbox"/> The US has been elected. 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input checked="" type="checkbox"/> has been communicated by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input checked="" type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)). a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> A English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). 		
Items 11 to 20 below concern document(s) or information included:		
11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input type="checkbox"/> A preliminary amendment. 14. <input type="checkbox"/> An Application Datasheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information:		
PCT/ISA/210, 51 SHEETS OF DRAWINGS, CLAIM OF PRIORITY, REFERENCES FOR IDS, 1 PAGE ABSTRACT		EXPRESS MAIL Mailing Label Number: EV385413683US Date of Deposit: September 7, 2004 I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. <i>Barnet Shindelman</i> (Typed or printed name of person mailing paper or fee) <i>Barnet Shindelman</i> (Signature of person mailing paper or fee)

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.50) <div style="font-size: 2em; font-weight: bold; text-align: center;">10/506719</div>	INTERNATIONAL APPLICATION NO. PCT/JP04/000035	ATTORNEY'S DOCKET NO. 450100-04894
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21. ☒ The following fees are submitted

BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):
 Neither international preliminary examination fee (37 CFR 1.482)
 nor international search fee (37CFR 1.445(a)(2)) paid to USPTO
 and International Search Report not prepared by the EPO or JPO\$1080.00

International preliminary examination fee (37 C.F.R. 1.482) not paid to
 USPTO but International Search Report prepared by the EPO or JPO.....\$920.00

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but
 international search fee (37 CFR 1.445(a)(2)) paid to USPTO\$770.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)
 but all claims did not satisfy provisions of PCT Article 33(1)-(4).....\$730.00

International preliminary examination fee paid to USPTO (37 CFR 1.482)
 and all claims satisfied provisions of PCT Article 33(1)-(4)\$100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months
 from the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	24 - 20 =	4	x \$18.00/\$ 9.00	\$72.00
Independent Claims	1 - 3 =	0	x \$86.00/\$43.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$290.00/\$290.00	
TOTAL OF ABOVE CALCULATIONS =				\$992.00

☐ Applicant claims small entity status. See 37 C.F.R. 1.27. The fees indicated above are
 reduced by 1/2. +

SUBTOTAL = \$992.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30
 months from the earliest claimed priority date (37 CFR 1.492(f)).

TOTAL NATIONAL FEE = \$992.00

Fee for recording the enclosed assignments (37 CFR 1.21(h)). The assignment must be
 accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

TOTAL FEES ENCLOSED = \$1,032.00

	Amount to be refunded:	\$
	charged:	\$

CALCULATIONS PTO USE ONLY

a. ☒ Checks in the amount of \$992.00 and \$40.00 to cover the above fees are enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
 A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to
 Deposit Account No. 50-0320. A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information
 should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a)
 or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

William S. Frommer, Esq.
 Frommer Lawrence & Haug LLP
 745 Fifth Avenue
 New York, New York 10151

SIGNATURE

William S. Frommer

NAME

Dated: September 7, 2004

25,506

REGISTRATION NUMBER

DTCC Rec'd PCT/PTO 07 SEP 2004

FLH Ref. No.: 450100-04894

10/506719

New Patent Application filed September 7, 2004 entitled:

EXTERNAL STORAGE APPARATUS

Corresponding to PCT Application No. PCT/JP04/000035

Filed January 7, 2004

"Express Mail" mailing label number

EV385413683US

Date of Deposit

September 7, 2004

I hereby certify that this paper or fee, and a patent application and accompanying papers, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to the Commissioner for Patents, Mail Stop PCT, P.O. Box 1450, Alexandria, VA 22313-1450.

Barnet Shindlman

(Typed or printed name of person mailing paper or fee)

Barnet Shindlman

(Signature of person mailing paper or fee)